

# Assessing the contribution of breeds to genetic diversity in conservation schemes

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(Received 27 March 2001; accepted 16 May 2002)

**Abstract** – The quantitative assessment of genetic diversity within and between populations is important for decision making in genetic conservation plans. In this paper we define the genetic diversity of a set of populations,  $S$ , as the maximum genetic variance that can be obtained in a random mating population that is bred from the set of populations  $S$ . First we calculated the relative contribution of populations to a core set of populations in which the overlap of genetic diversity was minimised. This implies that the mean kinship in the core set should be minimal. The above definition of diversity differs from Weitzman diversity in that it attempts to conserve the founder population (and thus minimises the loss of alleles), whereas Weitzman diversity favours the conservation of many inbred lines. The former is preferred in species where inbred lines suffer from inbreeding depression. The application of the method is illustrated by an example involving 45 Dutch poultry breeds. The calculations used were easy to implement and not computer intensive. The method gave a ranking of breeds according to their contributions to genetic diversity. Losses in genetic diversity ranged from 2.1% to 4.5% for different subsets relative to the entire set of breeds, while the loss of founder genome equivalents ranged from 22.9% to 39.3%.

conservation / genetic diversity / gene banks / marker estimated kinships / poultry

## 1. INTRODUCTION

In conservation genetics of livestock the question of which breeds to conserve is important. Decisions on which breeds to conserve can be based on a number of different considerations, with the degree of endangerment being the most

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important [8]. Forced by limited resources to concentrate efforts on only a few populations under threat, we need insight into the genetic variation present in each population. Quantitative assessment of genetic diversity within and between populations is a tool for decision making in genetic conservation plans. Weitzman proposed a method to quantify the diversity in a set of populations [11], which is based on pairwise genetic distances between the populations. In the same paper, Weitzman put forth a number of criteria (see Sect. 2 for further details), to which a meaningful measure of diversity should adhere. Thaon d'Arnoldi *et al.* demonstrated this method in a set of cattle breeds [10]. They noted that because of the recursive nature of the Weitzman method, the algorithm to calculate the total diversity in a set of breeds and the loss of genetic diversity when a breed is excluded from the set is complex and computer intensive, limiting its use to sets of 25 populations or less. A simpler method, which would not have these limitations, would be advantageous.

In this paper we develop such a method based on marker estimated kinships (MEK). Eding and Meuwissen [3] proposed the use of MEK to assess genetic diversity, a measure which expresses genetic diversity in terms of average (estimated) kinships between (and within) populations using genetic marker genes. In contrast, the Weitzman method expresses only between population diversity. Furthermore, kinships have a direct relationship with other well-known indicators of genetic diversity [3]. A population that is the result of random mating within and between populations of a conserved set will show the conserved genetic variance which is:  $\sigma_w^2 = (1 - \bar{f})\sigma_a^2$ , where  $\sigma_a^2$  is the total original genetic variance and  $\bar{f}$  is the average kinship within the set of populations [4] (page 265; their term "line" refers to the conserved set here). Note that this definition assumes that genetic diversity is the result of genetic drift only. Mutation is not accounted for in this method, since the time scale of breed formation is relatively small such that mutations are expected to have only a minor impact on diversity [3].

From the former, it follows that a kinship based method of assessing genetic diversity is essentially based on genetic variance. Thaon d'Arnoldi *et al.* observed that variance based estimates do not necessarily comply with Weitzman criteria. For instance, it is possible that the removal of a population from the set leads to an increase in diversity [10].

In this note we propose a MEK based definition of total genetic diversity in a set of populations. Genetic diversity is defined as: the maximum of genetic variation present in a population in Hardy-Weinberg equilibrium that is derived from breeds in the core set. The calculations used are non-recursive and therefore easier to implement and less computer intensive than the Weitzman approach. Moreover, this method accounts for both within and between population diversity simultaneously. The method relies on the estimation of the contribution of each breed to a core set (core set). These estimated contributions

provide a way of ranking breeds according to their importance with regards to genetic diversity, as will be demonstrated in an example of poultry breeds.

## 2. METHOD

As an example, consider three populations, where populations 2 and 3 are identical, while population 1 is unrelated to both 2 and 3. The kinship matrix is:

$$\mathbf{M} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

The average kinship in  $\mathbf{M}$  is  $5/9$  (5 ones over 9 elements). Removal of population 3 from  $\mathbf{M}$  leads to

$$\mathbf{M}^* = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

and the average kinship has decreased to  $2/4$ , which implies an increase of genetic diversity. This is in violation of the Weitzman criteria, according to which the removal of a population should have either a negative or zero effect on the measure of diversity. The decrease in average kinship that occurred with the removal of population 3 from the set, occurred because populations 3 and 2 are the same population. There is one population that contributes twice to the mean kinship of set  $S$  and is actually over-represented.

This problem is avoided by basing the diversity contained in set  $S$  on the mean kinship of a core set of set  $S$ , where the core set is a mixture of populations such that “genetic overlap” within the core set is minimised [5]. Minimising the mean kinship within a core set does this.

The coefficient of kinship is defined as the probability that two randomly drawn alleles from two individuals are identical by descent. Thus the average coefficient of kinship between two populations indicates the fraction of alleles two populations have in common through common ancestors. To eliminate as much genetic overlap as possible, the average coefficient of kinship in the core set of  $S$  should be minimised. In the case of the former example the solution would be the removal of population 3 (or equivalently, removal of population 2). This removal does not affect the diversity contained in the core set, which seems intuitively correct.

### 2.1. Optimal contributions to a core set

Consider an  $n \times n$  matrix  $\mathbf{M}$  containing within and between population kinships for  $n$  populations in set  $S$ . Also define an  $n$ -dimensional vector  $\mathbf{c}$  that

will contain the relative contribution of each population to the core set, such that the elements of  $\mathbf{c}$  sum up to one. We can calculate the average kinship in the set, given  $\mathbf{c}$ , as:

$$f(S) = \mathbf{c}'\mathbf{M}\mathbf{c}. \quad (1)$$

For the construction of the core set we must find contributions in  $\mathbf{c}$  such that the average kinship in the core set is minimal. To this end we introduce a Lagrangian multiplier  $\lambda$  that restricts the  $\mathbf{c}$  vector such that the elements of  $\mathbf{c}$  sum up to 1, leading to the Lagrangian equation:

$$L(S) = \mathbf{c}'\mathbf{M}\mathbf{c} - \lambda (\mathbf{c}'\mathbf{1}_n - 1) \quad (2)$$

where  $\mathbf{1}_n$  is a  $n$  dimensional vector of ones.

Setting the first derivative of (2) with respect to  $\mathbf{c}$  to zero we get:

$$\begin{aligned} \frac{\partial L(S)_{\min}}{\partial \mathbf{c}} &= 2\mathbf{M}\mathbf{c} - \lambda\mathbf{1}_n = 0 \\ \mathbf{M}\mathbf{c} &= \frac{1}{2}\lambda\mathbf{1}_n \\ \mathbf{c} &= \frac{1}{2}\lambda\mathbf{M}^{-1}\mathbf{1}_n. \end{aligned} \quad (3)$$

And since  $\mathbf{c}'\mathbf{1}_n = 1$

$$\begin{aligned} \mathbf{c}'\mathbf{1} &= \frac{1}{2}\lambda\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n = 1 \\ \lambda &= \frac{2}{\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n}. \end{aligned} \quad (4)$$

Substituting this result in (3) we obtain:

$$\mathbf{c}_{\min} = \frac{\mathbf{M}^{-1}\mathbf{1}_n}{\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n}. \quad (5)$$

The minimum kinship in the core set,  $f(S)_{\min}$ , can be obtained from

$$\begin{aligned} \mathbf{c}'_{\min}\mathbf{M}\mathbf{c}_{\min} &= \frac{1}{(\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n)^2} \cdot \mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n \\ &= \frac{1}{\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n}. \end{aligned} \quad (6)$$

Because the genetic variance contained within set  $S$  is proportional to  $(1 - f(S)_{\min})$ , the genetic diversity  $\text{Div}(S)$  in set  $S$  is defined as  $\text{Div}(S) = 1 - f(S)_{\min}$ .

## 2.2. The Weitzman criteria

Weitzman defined four criteria for a proper measure of diversity [10, 11].

Criterion 1: *Continuity in species*. The total amount of diversity in a set of populations should not increase when a population is removed from the set.

Criterion 2: *The twin property*. The addition of an element identical to an element already in the set should not change the diversity content in a set of populations.

Criterion 3: *Continuity in distance*. A small change in distance measures should not result in large changes in the diversity measure.

Criterion 4: *Monotonicity in distance*. The diversity contained in a set of populations should increase if the distance between these populations increases.

With regards to the first criterion: Since kinship is essentially a measure of variance it is possible that the estimated genetic diversity in terms of kinship increases when a population is removed from the set [10]. However, when the contribution of each population is optimised, the average kinship is at a minimum. Removal of a breed from the set will give a solution away from the minimum average kinship if the contribution of this breed is non-zero and genetic diversity will decrease. In the case a population is identical to another population in the set (or an inbred sub-population of another population) its contribution is zero and can be excluded from the set without affecting the diversity, which satisfies criterion 2.

With regards to criterion 3: The measure of genetic diversity in a set of breeds as presented above is a continuous function of the (estimated) average kinships between and within breeds. Hence, the measure of genetic diversity presented here changes only slightly, when kinships or distances change slightly.

With regards to criterion 4, it should be noted that an increase in genetic distance in a pure drift model can be caused by two reasons: (1) a decrease in the kinship between breeds, and (2) an increase in the within breed kinships (*i.e.* continued inbreeding within a population). In the latter situation, criterion 4 does not hold, since continued inbreeding reduces genetic diversity, even as the genetic distance increases. Criterion 4 can be rewritten in terms of kinships between populations as: the diversity contained in a pair of populations should increase if the kinship between or within these populations decreases. This preserves the intent of criterion 4 and the core set method adheres to this criterion (see Sect. 4).

## 2.3. Application to real marker data

As an illustration of the use of the MEK/core set method, we present here the results from a data set containing microsatellite data from 46 lines of poultry. DNA was isolated from pooled blood samples (approximately 50 animals per line) as described by Crooijmans *et al.* [2]. For Sumatra breed only 10 animals

were present in the pool. These 46 lines were genotyped for 17 microsatellites. Within the lines, three major groups could be distinguished: Commercial layer lines ( $N_l = 9$ ) which were subdivided into brown layers (lines 25, 26, 27, 29 and 57) and white layers (lines 17, 18, 20, 56), commercial broiler lines ( $N_b = 17$ ) and non-commercial breeds of poultry ( $N_h = 20$ ). The latter included indigenous Dutch breeds, which are mainly kept and bred as fancy breeds, and the *Bankiva* and *Sumatra* breed. The data are summarised in Table I.

Per locus similarity scores were calculated from the allele frequencies. For a single locus with  $K$  alleles the similarity between populations  $i$  and  $j$ , can be calculated as:

$$\bar{S}_{ijk} = \sum_k p_{ik}p_{jk} \quad (7)$$

where  $k$  is the  $k$ th allele of the locus. This expression assumes a random breeding population. To account for a structured population one could calculate similarities between individuals and average over pairs of animals to obtain the mean similarity between populations [3].

We defined the population that existed just before this first fission as the founder population, in which all animals are unrelated. Analysis of the similarity scores indicated that the earliest detectable population fission was between the *Bankiva* and the cluster of broiler lines, *i.e.* they had the lowest similarity scores. The per locus average similarity between the *Bankiva* and the broiler cluster were assumed to be  $s$ , *i.e.* the probability of alleles Alike In State. Hence, an estimate of the kinship between populations  $i$  and  $j$  for  $L$  loci can be calculated:

$$\hat{f}_{ij} = \frac{1}{L} \sum_{l=1}^L \frac{S_{ij,l} - s_l}{1 - s_l}. \quad (8)$$

MEKs between and within populations were calculated as the weighted average of kinship estimates per locus, where the standard errors of the estimates are used for weighing [3].

### 3. RESULTS

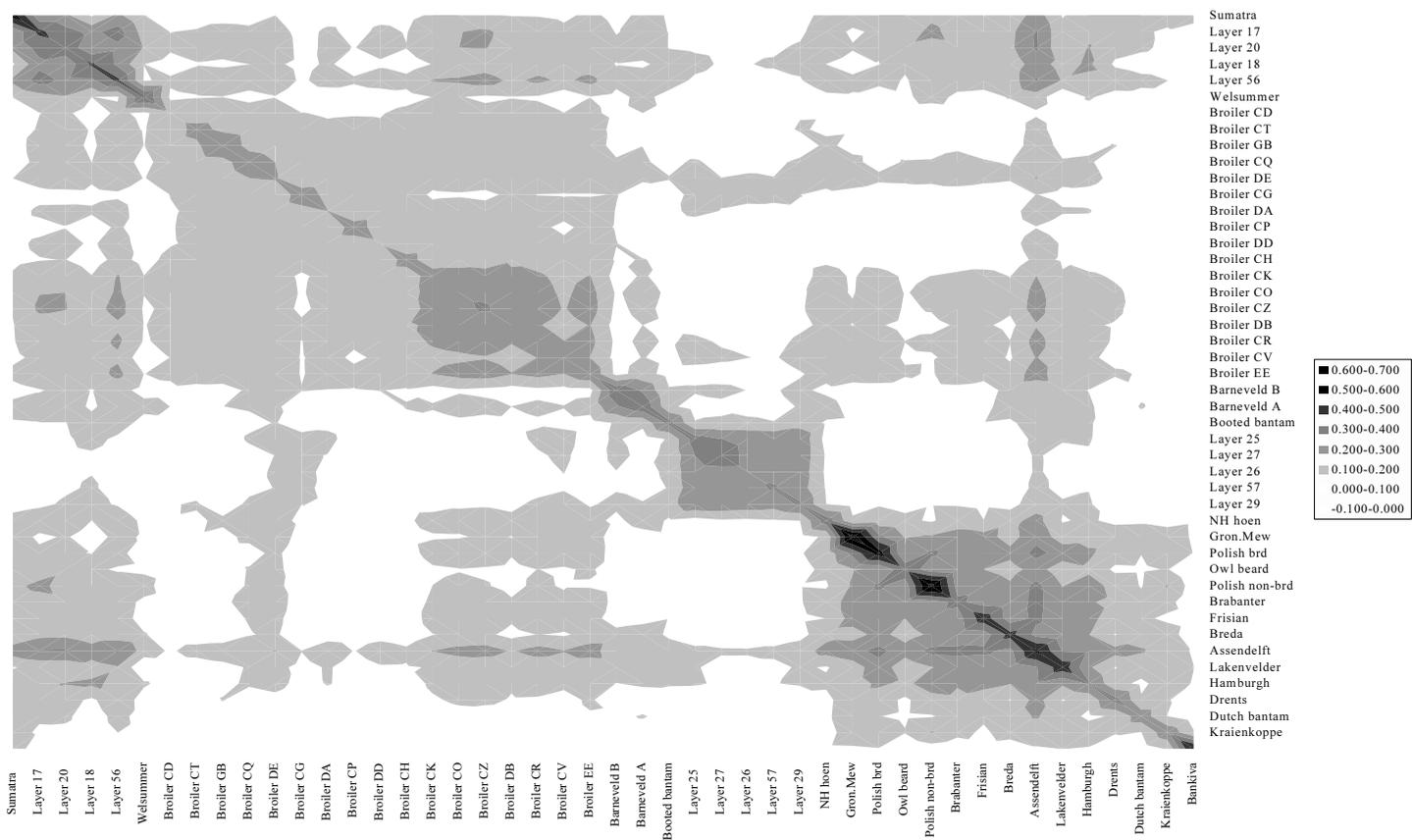
Figure 1 is a graphical representation of the  $46 \times 46$   $\mathbf{M}$  matrix containing the MEKs, where a darker shade reflects a higher kinship between populations. A schematic representation of the relations is given as a Neighbour-Joining tree in Figure 2. The tree was constructed using the Phylip package [6]. For the construction of this tree kinship estimates had to be converted to “kinship distances” by:

$$d(i, j) = \hat{f}_{ii} + \hat{f}_{jj} - 2\hat{f}_{ij}. \quad (9)$$

**Table I.** Summary of the data on poultry lines and genetic markers used in the application of the marker estimated kinship/core set method.  $\bar{H}_O$  refers to the mean observed heterozygosity over all loci per line.

Indigenous populations	Commercial lines		Markers used	# alleles
	$\bar{H}_O$	$\bar{H}_O$		
<i>Assendelft fowl</i>	0.325	<i>Broiler CD</i> 0.587	ADL0112	5
<i>Bankiva</i>	0.306	<i>Broiler CG</i> 0.538	ADL0114	8
<i>Barnevelder A</i>	0.417	<i>Broiler CH</i> 0.545	ADL0268	8
<i>Barnevelder B</i>	0.445	<i>Broiler CK</i> 0.530	ADL0278	7
<i>Bearded Polish</i>	0.346	<i>Broiler CO</i> 0.488		
<i>Brabanter</i>	0.531	<i>Broiler CP</i> 0.586	LEI0166	5
<i>Breda fowl</i>	0.464	<i>Broiler CQ</i> 0.530	LEI0228	26
<i>Drents fowl</i>	0.472	<i>Broiler CR</i> 0.541		
<i>Dutch Bantam</i>	0.487	<i>Broiler CT</i> 0.562	MCW0111	6
<i>Dutch booted bantam</i>	0.474	<i>Broiler CV</i> 0.578	MCW0014	11
<i>Dutch Owl-bearded</i>	0.487	<i>Broiler CZ</i> 0.464	MCW0150	8
<i>Frisian fowl</i>	0.363	<i>Broiler DA</i> 0.572	MCW0183	13
<i>Groninger Mew</i>	0.233	<i>Broiler DB</i> 0.556	MCW0248	10
<i>Hamburgh</i>	0.448	<i>Broiler DD</i> 0.563	MCW0295	8
<i>Kraienkoppe</i>	0.363	<i>Broiler DE</i> 0.550	MCW0330	6
<i>Lakenvelder</i>	0.363	<i>Broiler EE</i> 0.497	MCW0004	17
<i>Non-bearded Polish</i>	0.237	<i>Broiler GB</i> 0.534	MCW0067	7
<i>Noord Hollands hoen</i>	0.474		MCW0078	8
<i>Sumatra</i>	0.322	<i>Layer 17 (white)</i> 0.394	MCW0081	11
<i>Welsummer</i>	0.423	<i>Layer 18 (white)</i> 0.405		
		<i>Layer 20 (white)</i> 0.416		
		<i>Layer 56 (white)</i> 0.408		
		<i>Layer 25 (brown)</i> 0.514		
		<i>Layer 26 (brown)</i> 0.526		
		<i>Layer 27 (brown)</i> 0.512		
		<i>Layer 29 (brown)</i> 0.503		
		<i>Layer 57 (brown)</i> 0.547		

Note that this distance is twice the Nei minimum distance corrected for allele frequencies in the founder population. In the contour plot of Figure 1 the populations are ranked according to the dendrogram of Figure 2.



**Figure 1.** Graphical representation of marker estimated kinship matrix. Shading is dependent on the value of the MEK, where darker shades reflect a higher kinship estimate.

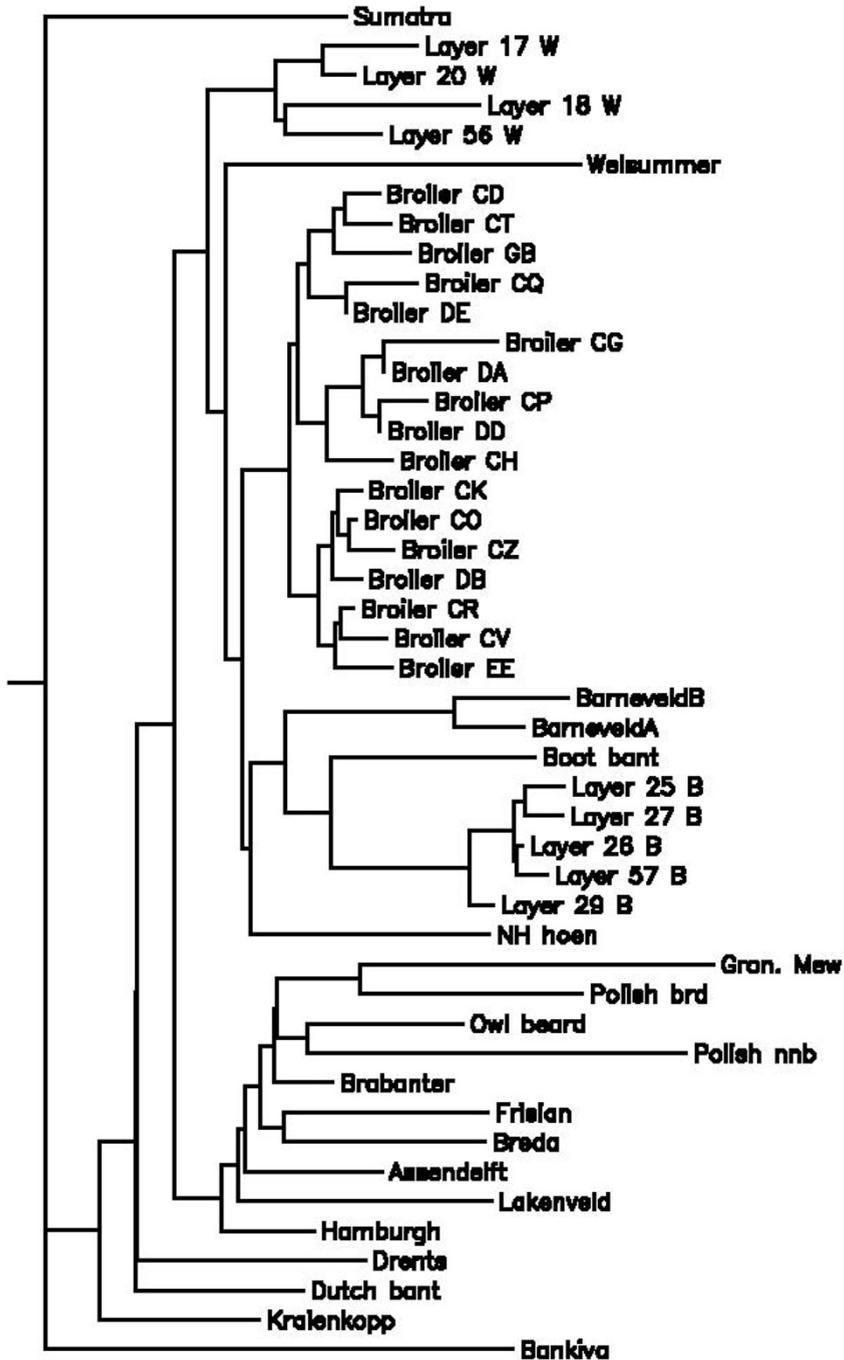


Figure 2. Neighbour-Joining tree representation of relationships between 46 populations of poultry.

The dendrogram resulting from the kinship distances shows three main clusters. The *Bankiva* breed, generally considered to be closely related to the ancestral population of all poultry breeds, constitutes one cluster, the *Sumatra* another. All the old Dutch fancy breeds and commercial lines are clustered together in what could be termed as “Western cluster”. Within the Western cluster we see two separated clusters of layer lines and two closely related clusters of broiler lines. The distinction between the two clusters of broiler lines can be seen from the contour plot. The first cluster, comprised of broiler lines CD through CH, has a generally low kinship with the other populations in the set, whereas the second cluster (broiler lines CK to EE) is related not only to the first broiler cluster, but also to a cluster of Layer lines (Layer 17, 20, 56 and 18) and a number of indigenous breeds. A similar pattern can be observed in the two clusters of layer lines. The cluster consisting of Layer lines 25, 26, 27, 29 and 57 (the brown layer lines) are only closely related to each other, while the cluster of Layer lines 17, 20, 56 and 18 (the white layer lines) are related to a cluster of indigenous breeds (the cluster beginning with *Groninger Mew* and ending with *Hamburgh*), apart from the relation with the aforementioned cluster of broiler lines.

Considering that the length of the branches corresponds to the extent of inbreeding, we can see from the tree representation, as well as from the contour plot, that there are a number of indigenous poultry breeds (*e.g. Welsummer, Noord Hollands hoen, Groninger Mew, Non-bearded Polish fowl, Assendelft*), that seem to suffer from higher levels of inbreeding than commercial lines. The within population MEK ranged from 0.17 to 0.28 for broiler lines, 0.29 to 0.42 for layer lines and 0.26 to 0.65 for Dutch indigenous breeds, averaging 0.24, 0.36 and 0.41 for broilers, layers and indigenous populations respectively.

There were a number of negative estimates of MEK, most notably for the *Bankiva* (MEKs with broiler lines), *Drents fowl* and *Welsummer* (both for MEKs with the brown layer lines). These negative estimates ranged from  $-0.01$  to  $-0.06$  and were caused by sampling errors on the kinship estimates. Note that in the case of the *Bankiva* and broiler lines the between population similarity was used to estimate the alike-in-state probability,  $s$ , implying that their expected kinship is zero.

The results of the core set method are given in Table II. In the uncorrected solution we saw negative contributions. These arise when a within kinship estimate of (a group of) population(s) is lower than the between population average kinship of the population with the (group of) population(s) (see Appendix B). Note that this can actually happen in practice, *e.g.*, a large group of half sibs has a within population kinship of approximately 0.125 while the between population kinship of the half sib group with the common sire is 0.25. Because contributions to a core set cannot be negative, we iteratively removed the breed with the most negative contribution from the core set setting

**Table II.** Optimal contributions to a core set of Dutch poultry populations  $\mathbf{c}_{\text{cor}}$ .  $\text{Div}(\mathbf{M})$  is the genetic diversity captured and is calculated as  $1 - f_{cs}$ , where  $f_{cs}$  is the average kinship in the core set.

<b>Breed</b>	<b><math>\mathbf{c}_{\text{cor}}</math></b>
<i>Broiler CD</i>	0.177
<i>Broiler CP</i>	0.167
<i>Drents fowl</i>	0.130
<i>Bankiva</i>	0.122
<i>Layer 57</i>	0.094
<i>Dutch Bantam</i>	0.094
<i>Welsummer</i>	0.066
<i>Owl-bearded</i>	0.056
<i>Layer 26</i>	0.043
<i>Layer 27</i>	0.024
<i>Barneveld B</i>	0.020
<i>Booted bantam</i>	0.005
<i>Kraienkoppe</i>	0.002
<i>Broiler CH</i>	0.001
<b>Div(M)</b>	<b>0.935</b>

its contribution to zero, until all contributions were equal or greater than zero. This procedure results in the solution under  $\mathbf{c}_{\text{cor}}$  (Tab. II). Only populations with non-zero contributions are given.

Fourteen of the 46 populations received a contribution greater than zero. Six of these were commercial lines, while 7 Dutch indigenous breeds and the *Bankiva* also contributed to the core set. Contributions of commercial lines totalled 51%, while indigenous breeds contributed 37%. The broiler lines with non-zero contributions all stem from one of the two clusters of broilers, namely the cluster of broilers that is relatively isolated (see before). The layer lines with non-zero contributions also stem from one cluster: the brown layer cluster (25, 26, 27, 29 and 57), which was relatively more isolated.

Following Thaon d'Arnoldi *et al.* [10] we defined a set of breeds that are not likely to become extinct (the **Safe** set, consisting of all commercial lines) and compare the diversity lost by only retaining this **Safe** set to the safe set plus one other breed (**Safe** + 1). This was done by comparing the diversity of the core set constructed from the **Safe** set with the diversity of the core set created from the **Safe** set plus one population (**Safe** + 1). The results are shown in Table III. Genetic diversity was calculated in two ways:  $\text{Div}(\mathbf{M}) = 1 - f_{cs}$ , where  $f_{cs}$

**Table III.** Relative loss in genetic diversity, when only a fixed set of breeds is kept (**Safe**, consisting of commercial broiler and layer lines) or the **Safe** set plus one other population.  $\text{Div}(M)$  is the genetic diversity and  $N_{\text{ge}}$  is the number of founder genome equivalents [7] in the core set constructed from the populations in the indicated set. **Whole** is the entire set of 46 populations. Losses are calculated relative to either the genetic diversity or  $N_{\text{ge}}$  of the **Whole** set.  $c_{s+1}$  is the contribution of a population to the core set constructed from the appropriate **Safe** + 1 set.

Set	$c_{s+1}$	$\text{Div}(M)$	% loss	$N_{\text{ge}}$	% loss
<i>Whole</i>		0.935		7.69	
<b>Safe</b> only		0.893	4.49	4.67	39.25
<b>Safe</b> + 1 set :					
<i>Drents fowl</i>	0.247	0.916	2.06	5.93	22.89
<i>Dutch bantam</i>	0.269	0.915	2.12	5.90	23.35
<i>Bankiva</i>	0.180	0.914	2.29	5.79	24.77
<i>Kraienkoppe</i>	0.241	0.911	2.60	5.60	27.21
<i>Dutch Owl-bearded</i>	0.168	0.902	3.49	5.12	33.40
<i>Welssummer</i>	0.157	0.902	3.57	5.08	33.94
<i>Brabanter</i>	0.167	0.900	3.70	5.02	34.74
<i>Frisian fowl</i>	0.132	0.900	3.72	5.01	34.87
<i>Breda fowl</i>	0.138	0.900	3.72	5.01	34.87
<i>Polish bearded</i>	0.115	0.899	3.82	4.97	35.45
<i>Sumatra</i>	0.106	0.899	3.88	4.94	35.83
<i>Polish non-bearded</i>	0.100	0.898	3.91	4.92	36.02
<i>Groninger Mew</i>	0.079	0.897	4.05	4.86	36.83
<i>Lakenvelder</i>	0.109	0.897	4.05	4.86	36.83
<i>Hamburgh</i>	0.121	0.895	4.24	4.78	37.86
<i>Barnevelder A</i>	0.091	0.895	4.24	4.78	37.86
<i>Booted bantam</i>	0.098	0.895	4.26	4.77	37.98
<i>Barnevelder B</i>	0.067	0.894	4.35	4.73	38.51
<i>Noord-Hollands hoen</i>	0.051	0.894	4.44	4.69	38.97
<i>Assendelft</i>	0.000	0.893	4.49	4.67	39.25

is the average estimated kinship in the core set, and  $N_{\text{ge}} = (2f_{\text{cs}})^{-1}$ , where  $N_{\text{ge}}$  is the number of founder genome equivalents [1, 7] represented in the core set. Changes in  $\text{Div}(M)$  are directly related to changes in genetic variation of quantitative traits. Changes in  $N_{\text{ge}}$  indicate the loss of founders represented in the core set, *i.e.* the potential loss of rare alleles and/or haplotypes.

In terms of  $\text{Div}(M)$  the loss in genetic diversity by keeping only the **Safe** set compared to keeping the entire set of populations is rather small: 4.5% (Tab. III). The loss in founder genome equivalents is substantially higher: 39.3%. This pattern remains throughout the different **Safe** + 1 sets.

Of the populations not in the **Safe** set only the *Assendelft* showed a contribution of zero. This can be attributed to the relatively high estimated kinships with all other populations in the whole set (Fig. 1, see also Appendix C). All other populations contributed moderately to substantially when added to the **Safe** set (Tab. III). The contributions of breeds to the core set are not very closely related to the loss due to exclusion of the breed. For instance, inclusion of the *Hamburgh* gives the same increase in diversity as inclusion of the *Barnevelder A*. However, its contribution is 33% higher: 0.121 for the *Hamburgh* versus 0.091 for the *Barnevelder A*.

From Table III the first four breeds (*Drents fowl*, *Dutch bantam*, *Bankiva* and *Kraienkoppe*) have large contributions to genetic diversity, both in terms of their relative contributions ( $c_{S+1}$ ) and added genetic diversity,  $\text{Div}(M)$ . Further down the list, the contributions are markedly lower and the % losses markedly higher. Looking at Figure 2 we see that these four breeds have a distinct position in the dendrogram. They form clusters only with themselves and the average kinships with the other populations indicate that these breeds are relatively older and/or more isolated.

Comparing the results from Table II with the results from Table III, we see that the top indigenous contributors are the same, although some reranking has occurred. However, in Table II both the *Barnevelder B* and the *Dutch Booted bantam* receive non-zero contributions, while in Table III they rank among the lowest in diversity contributed to the **Safe** + 1 set.

#### 4. DISCUSSION

In principle the core set method offers an alternative to the Weitzman [11] approach in quantifying genetic diversity and support of decision making in conservation genetics. The core set method has a number of advantages over the Weitzman method.

First, it is easy to use. Calculations in the Weitzman method are complex and time consuming, because of the recursive nature of the Weitzman method. The core set method is a straightforward optimisation procedure requiring less programming and computations. Also, the MEK/core set method could be applied at the level of individuals, optimising the individual contributions to a conservation scheme. In contrast, the number of calculations needed in the Weitzman method limit the amount of data that can be used as input, thus preventing the Weitzman method from being used in larger conservation problems [10]. The MEK/core set method could also be extended to incorporate

additional data, such as the economic valuation of genetic diversity, or data on additional considerations for conservation, such as socio-economic and traditional reasons. Alternatively, by using the weights per marker locus one could place emphasis on the importance of certain genomic regions.

Second, the core set method uses between and within breed diversity simultaneously. Within and between population diversity are measured in the same units (kinship) and the within breed diversity is weighed against the between breed diversity. This means that an inbred population will receive a smaller contribution. In the Weitzman method some additional weighing is needed to account for within breed diversity. Following Weitzman [11], Thacon d'Arnoldi *et al.* [10] suggest weighing with expected probabilities of extinction of each breed in the set. However, this suggestion could lead to results opposite from the core set method. A highly inbred breed will receive a lower contribution in the core set method. Because of the higher risk of extinction, following the suggestion by Thacon d'Arnoldi *et al.*, such a breed would get a higher weight, increasing its priority in conservation decisions. Extinction risk could be accommodated in the core set method by calculating the expectation of  $\text{Div}(M(I))$ , where the expectation is taken over a vector  $\mathbf{I}$  of indicator variables that indicates whether population  $i$  becomes extinct in set  $M(I)$  or not ( $I_i = 0$  means population  $i$  will become extinct).

Third, using average population kinships is a natural way for measuring genetic diversity in a set of populations  $S$ , because it is proportional to the maximum genetic variance that can be recovered in a random mating population that is bred from populations  $S$ . Average population kinships are closely related to well-known concepts as effective population sizes and inbreeding [3]. Most genetic distances used in the analysis of microsatellite data can be written in terms of kinships between and within population kinships [3]. Additionally, the MEK/core set method closely links genetic diversity to variation in quantitative traits, putting less emphasis on the conservation of rare alleles and more on the conservation of a wide range of genotypes.

Due to the nature of the optimisation algorithm used in this study, relationships need only to be known proportionally. Different definitions of the founder population (which is a major factor determining the values of the marker estimated kinships [3]) will have no effect on the solution to the  $\mathbf{c}_{\min}$  vector, which means that the composition of the core set does not change if the definition of the founder population changes (Appendix A).

The tree representation in this paper was constructed using the Neighbour Joining method on "kinship-distances" (which essentially is twice the Nei minimum distance corrected for allele-frequencies in the founder population). Generally this approach seems to give results that correlate well with the actual estimates of the average kinship coefficients (Fig. 1). However, tree representations as in Figure 2 assume population fission and subsequent isolation and

therefore do not show migration or crossbreeding patterns. A contour plot as given in Figure 1 is able to show patterns of gene flow. The combination of the dendrogram and contour plot, where the dendrogram is used to determine the sorting order of the populations in the contour plot seems to give a clear image of both relatedness and gene flow between (clusters of) populations.

Although we use a genetic distance (the “kinship distance”) for imaging purposes, it should be noted that genetic distances in a pure drift model tend to be ambiguous if they are used to assess genetic diversity. As an example, let us consider the “kinship distance”:

$$d(i, j) = \left( \hat{f}_{ii} - \hat{f}_{ij} \right) + \left( \hat{f}_{jj} - \hat{f}_{ij} \right). \quad (9)$$

The total distance between a pair of populations  $i$  and  $j$  is determined by two distances: the distance between each population and the most recent common ancestor of  $i$  and  $j$  (*i.e.* the founder of the pair  $(i, j)$ ). Essentially the distance between  $i$  and  $j$  is determined by the increase in kinships (or the amount of inbreeding) since the founder of  $i$  and  $j$ . Given that  $f_{ij}$  remains unchanged after population fission, an increase in distance between  $i$  and  $j$  can only be caused by an increase in  $f_{ii}$  and/or an increase in  $f_{jj}$ . This means that in this case an increase in distance can only occur if the inbreeding coefficient in  $i$  and/or  $j$  increases.

This leads to a fundamental difference between Weitzman diversity and kinship based diversity. The Weitzman criterion 4, *i.e.* diversity should increase if distance increases, favours populations with extreme allele frequencies, whereas the kinship based diversity will decrease if the extreme allele frequencies occurred due to high inbreeding in the population. Favouring populations with extreme frequencies, implies that new mutants are valued (which are ignored by kinship based diversity), and that homozygote populations are valued. Kinship based diversity does not value homozygotes, since it values the genetic variance in a random mating population that could be bred from the conserved set of populations. Conservation plans that maximise kinship based diversity will minimise the change in allele frequencies from the founder population and thus also minimise the loss of alleles.

The conservation of many fully inbred populations, which maximise Weitzman diversity, has the advantage that genetic variance will not change anymore, *i.e.* further inbreeding will not result in any further loss of alleles. The drawback however, is that many animal populations will not survive high levels of inbreeding, and there is thus the danger that entire populations will be lost. The use of Weitzman diversity may thus lead to the conservation of highly inbred, unfit populations, with allele frequencies that are very different from the founder population. In contrast, the kinship based diversity criterion would prefer non-inbred populations with frequencies close to the founder population.

Overall, the kinship estimates and more specifically the low within breed kinship estimates (relative to the between breed estimates) suggest that migration between populations is quite large. In such situations the MEK/core set method would seem to be preferable to other methods, since complete isolation of populations after fission is not assumed. Between population kinships may be increased due to migration and the core set method will account for the migration.

The per locus average similarity between the *Bankiva* and the broiler cluster were assumed to be  $s$ , because the genetic similarities between the *Bankiva* and the broiler clusters were the lowest, indicating the oldest population fission. From Figure 2 we can see that this actually indicated the first population fission resulting in the *Bankiva* line and a line that was the ancestor to all “Western” lines. The definition of  $s$  is somewhat *ad-hoc* here. Other, more formal methods for the simultaneous estimation of  $f$  and  $s$  will be described in a subsequent paper.

The base population is assumed to be the population that might have existed at the time the population first split into two separate populations. The core set method weighs the contributions of each breed in such a way that the genetic diversity in the base population is recovered as fully as possible. In the different sets for which solutions were calculated, genetic diversities ranged from 0.935 (full set) to 0.893 (“safe” set; see Tab. III). The MEK/core set method implicitly assumes a base population in which all individuals are unrelated and therefore  $\text{Div}(\text{Base}) = 1.00$ . This suggests that the solutions to the  $\mathbf{c}$ -vector conserve approximately 90% or more of the genetic variation of the hypothetical founder population. It may be noted that exclusion of a breed causes an adjustment of the contributions of the remaining populations in such a way that the loss in diversity is minimised. This readjustment uses the overlap in genetic diversity between breeds, increasing weights of breeds that are genetically related to the removed breed.

However, when the loss of diversity is expressed in founder genome equivalences, the loss is much larger, 23–39%, while the loss in genetic variation is small: 2.0–4.5%. This discrepancy is noteworthy, because both genetic diversity and  $N_{fe}$  are derived from the average kinship within a set of breeds [1]. Basically, as  $\bar{f}$  increases from small to large, at first a lot of founder genomes are lost while there is little loss of genetic variation. However, as  $\bar{f}$  becomes large, there are few founder genomes left and thus few will be lost, but the loss of genetic variation becomes substantial. Thus,  $N_{ge}$  is more sensitive to initial increases of  $\bar{f}$  (e.g. due to the loss of populations), while  $\text{Div}(M)$  is more sensitive to the loss of populations when  $\bar{f}$  is large. When conservation of a sufficient number of founder alleles per locus is a consideration in a conservation program, it might be advisable to express losses from excluding breeds from the core set in terms of  $N_{ge}$  instead of genetic diversity. Doing

this does not affect the ranking of breeds with respect to their contribution to diversity, but the relative contribution of a breed to  $N_{ge}$  is larger than its relative contribution to genetic variation.

The results from the MEK/core set method seem promising. Application of the method is flexible and is computationally feasible in large data sets. According to the results presented in this paper it is possible to conserve most of the genetic diversity originally found in the founder population. The MEK/core set method employed in this paper provides a method of ranking breeds according to their “diversity content”, both relative to the entire set and relative to alternative sets (in this study the **Safe** set).

The **c**-vector could also be used to allocate resources to a gene bank. But such an approach carries the risk that some breeds will be allocated insufficient resources to maintain them as independent, viable populations. In these cases crossbreeding might be used to conserve the diversity of breeds. However, this could mean the loss of valuable genotypes and allele combinations that need to be conserved. This is especially true for populations at risk, which by definition are small in (effective) size and hence do not, generally, contribute to diversity very much. If there are other criteria [9], according to which the loss of a breed is deemed unacceptable, some extra restrictions could be applied in Expression (2). Alternatively, it might be advisable to incorporate them in the **Safe** set. Ultimately, the decision to conserve a breed is dependent on a number of considerations of which genetic diversity in the terms presented in this paper is only one [9,10].

## ACKNOWLEDGEMENTS

The helpful comments of John Woolliams are gratefully acknowledged.

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## APPENDIX A

### Invariance of the contributions vector to probability of alleles Alike In State (AIS)

We consider the set  $M$  of  $m$  populations. Suppose  $\mathbf{A}$  is an  $m \times m$  matrix containing the actual (unknown) kinships between populations. The vector containing optimal contribution to the core set should be calculated through:

$$\mathbf{c}_{\min} = \frac{\mathbf{A}^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{A}^{-1}\mathbf{1}} \quad (\text{A.1})$$

where  $\mathbf{1}$  is an  $m$ -dimensional vector of ones.

However, for a locus  $L$  where alleles can be alike in state without being identical by descent the similarity matrix  $\mathbf{M}_L$  will be of the form:

$$\mathbf{M}_L = (1 - s_L) \mathbf{A} + \mathbf{1}\mathbf{1}'s_L \quad (\text{A.2})$$

where  $s_L$  is the probability of alleles being alike in state but not identical by descent [3]. Substituting the similarity matrix  $\mathbf{M}_L$  for  $\mathbf{A}$ , expression (A.1) changes into:

$$\hat{\mathbf{c}}_{\min} = \frac{\mathbf{M}_L^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{M}_L^{-1}\mathbf{1}} \quad (\text{A.3})$$

For the calculation of the estimate of  $\mathbf{c}_{\min}$  we need the inverse of  $\mathbf{M}_L$ . Setting  $\mathbf{M} = (1 - s_L)\mathbf{A}$ , we get:

$$\begin{aligned}\mathbf{M}_L^{-1} &= [\mathbf{M} + \mathbf{1}\mathbf{1}'s_L]^{-1} \\ &= \mathbf{M}^{-1} - \mathbf{M}^{-1}\mathbf{1}[\mathbf{1}'\mathbf{M}^{-1}\mathbf{1} + s_L^{-1}]^{-1}\mathbf{1}'\mathbf{M}^{-1}.\end{aligned}\quad (\text{A.4})$$

Multiplication by  $\mathbf{1}$  gives:

$$\begin{aligned}\mathbf{M}_L^{-1}\mathbf{1} &= \mathbf{M}^{-1}\mathbf{1} - \mathbf{M}^{-1}\mathbf{1}[\mathbf{1}'\mathbf{M}^{-1}\mathbf{1} + s_L^{-1}]^{-1}\mathbf{1}'\mathbf{M}^{-1}\mathbf{1} \\ &= \mathbf{M}^{-1}\mathbf{1}\left[1 - \frac{\mathbf{1}'\mathbf{M}^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{M}^{-1}\mathbf{1} + s_L^{-1}}\right].\end{aligned}\quad (\text{A.5})$$

Substituting (A.5) in (A.3) and substituting  $\mathbf{M} = (1 - s_L)\mathbf{A}$  we see that

$$\hat{\mathbf{c}}_{\min} = \frac{\mathbf{M}_L^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{M}_L^{-1}\mathbf{1}} = \frac{\mathbf{A}^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{A}^{-1}\mathbf{1}} = \mathbf{c}_{\min}.\quad (\text{A.6})$$

The vector  $\mathbf{c}_{\min}$  is insensitive to the probability of alleles AIS, provided this probability is equal for all populations in  $\mathbf{M}$ . This holds true for probabilities of alleles AIS in general. If estimates of  $f_{ij}$  are made, a correction will take place for the probabilities of alleles being alike in state at different loci. However, there will inherently be some probability of alleles AIS left because we implicitly assume a founder population, where the relations among animals and inbreeding are zero. The above shows that the choice of the founder population will not affect the contributions of populations to the core set.

## APPENDIX B

This appendix proves that negative contributions of breeds to the core set occur when the kinship within a set of populations is smaller than the kinship between the set and another breed. The latter may occur as a result of estimation errors on the kinship estimates, but also the real kinships may show this phenomenon. An example of the latter is a large population of half sibs which has a within population kinship of approximately 0.125 while the kinship of the half sib family with its common sire is 0.25.

For a MEK matrix  $\mathbf{M}$  the contribution vector  $\mathbf{c}_{\min}$  that minimises the average kinship in a set of  $N$  populations is:

$$\mathbf{c}_{\min} = \frac{\mathbf{M}^{-1}\mathbf{1}_n}{\mathbf{1}'_n\mathbf{M}^{-1}\mathbf{1}_n}$$

where  $\mathbf{1}_n$  is a vector whose  $N$  elements equal one.

Suppose population P has a negative contribution. The inverse of  $\mathbf{M}$  matrix may be partitioned as:

$$\mathbf{M}^{-1} = \begin{bmatrix} \vdots & & \\ \mathbf{M}_{11} & \vdots & \mathbf{M}_{1P} \\ \dots & \dots & \vdots & \dots \\ \mathbf{M}_{P1} & \vdots & \mathbf{M}_{PP} \end{bmatrix}^{-1}$$

$$= \begin{bmatrix} & & & \vdots \\ & & & \vdots \\ \dots & \dots & & \vdots & \dots \\ -\mathbf{Q}^{-1}\mathbf{M}_{P1}^{-1}\mathbf{M}_{11}^{-1} & \vdots & & \mathbf{Q}^{-1} \end{bmatrix}$$

and

$$\mathbf{Q}^{-1} = \mathbf{M}_{PP} - \mathbf{M}_{P1}\mathbf{M}_{11}^{-1}\mathbf{M}_{1P}$$

where  $\mathbf{M}_{11}$  is the  $(N-1) \times (N-1)$  partition of matrix of  $\mathbf{M}$  in which population P is excluded.  $\mathbf{M}_{1P}$  is a vector containing the MEKs between P and all other populations.  $\mathbf{M}_{PP}$  is the within population kinship estimate of P.

The contribution of P follows from formula (5) in the main text. The contribution of population P is negative, when the Pth element of  $\mathbf{M}^{-1}\mathbf{1} < 0$ .

$$\begin{aligned} [\mathbf{M}^{-1}\mathbf{1}]_P &= -\mathbf{Q}^{-1}\mathbf{M}_{P1}\mathbf{M}_{11}^{-1}\mathbf{1} + \mathbf{Q}^{-1}\mathbf{1} \\ &= \mathbf{Q}^{-1}(\mathbf{1} - \mathbf{M}_{P1}\mathbf{M}_{11}^{-1}\mathbf{1}) \\ &= \mathbf{Q}^{-1}\left(\mathbf{1} - \mathbf{M}_{P1} \cdot \frac{\mathbf{M}_{11}^{-1}\mathbf{1}}{\mathbf{1}'\mathbf{M}_{11}^{-1}\mathbf{1}} \cdot \mathbf{1}'\mathbf{M}_{11}^{-1}\mathbf{1}\right) \\ &= \mathbf{Q}^{-1}\left(\mathbf{1} - \frac{\mathbf{M}_{P1}\mathbf{c}_{\min,11}}{f_{\min,11}}\right) \end{aligned}$$

where  $\mathbf{c}_{\min,11} = \frac{\mathbf{M}_{11}^{-1}\mathbf{1}_n}{\mathbf{1}'_n\mathbf{M}_{11}^{-1}\mathbf{1}_n}$  is the optimum contributions vector of populations

1 to  $(N-1)$  to their core set (A) and  $f_{\min,11} = \frac{1}{\mathbf{1}'\mathbf{M}_{11}^{-1}\mathbf{1}}$  is the average kinship within the core set A.

From this we see that the contribution of population P is smaller than zero if

$$\mathbf{Q}^{-1} < 0 \quad \text{or} \quad \mathbf{M}_{P1}\mathbf{c}_{\min,11} > f_{\min,11}$$

where  $\mathbf{Q}^{-1}$  is a diagonal element of  $\mathbf{M}^{-1}$ . Since  $\mathbf{M}$  is a relationship matrix and therefore a variance/covariance matrix,  $\mathbf{M}$  must be positive definite.  $\mathbf{Q}^{-1} < 0$

indicates that  $\mathbf{M}$  is not positive definite and thus not a proper relationship matrix, probably due to sampling errors on the MEKs.

The scalar  $f_{\min,11}$  is the average minimal kinship within core set A, composed of all populations except population P.  $\mathbf{M}_{P1}c_{\min,11}$  is the minimal average kinship between population P and the composite population A. A negative contribution therefore occurs when the kinship between populations P and A is greater than the kinship within population A. As mentioned above, this may occur in practice, as for example in the case of the half sib family, but it is more likely to be due to sampling errors.